

## Genus Distribution for a Graph

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**Abstract:** In this paper we develop the technique of a distribution decomposition for a graph. A formula is given to determine genus distribution of a cubic graph. Given any connected graph, it is proved that its genus distribution is the sum of those for some cubic graphs by using the technique.

**Key Words:** Joint tree; genus distribution; embedding distribution; Smarandachely  $k$ -drawing.

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### §1. Introduction

We consider finite connected graphs. Surfaces are orientable 2-dimensional compact manifolds without boundaries. Embeddings of a graph considered are always assumed to be orientable 2-cell embeddings. Given a graph  $G$  and a surface  $S$ , a *Smarandachely  $k$ -drawing* of  $G$  on  $S$  is a homeomorphism  $\phi: G \rightarrow S$  such that  $\phi(G)$  on  $S$  has exactly  $k$  intersections in  $\phi(E(G))$  for an integer  $k$ . If  $k = 0$ , i.e., there are no intersections between in  $\phi(E(G))$ , or in another words, each connected component of  $S - \phi(G)$  is homeomorphic to an open disc, then  $G$  has an 2-cell embedding on  $S$ . If  $G$  can be embedded on surfaces  $S_r$  and  $S_t$  with genus  $r$  and  $t$  respectively, then it is shown in [1] that for any  $k$  with  $r \leq k \leq t$ ,  $G$  has an embedding on  $S_k$ . Naturally, the *genus of a graph* is defined to be the minimum genus of a surface on which the graph can be embedded. Given a graph, *how many distinct embeddings does it have on each surface?* This is the genus distribution problem, first investigated by Gross and Furst [4]. As determining the genus of a graph is NP-complete [15], it appears more difficult and significant to determine the genus distribution of a graph.

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There have been results on genus distribution for some particular types of graphs (see [3], [5], [8], [9], [11]-[17], among others). In [6], Liu discovered the joint trees of a graph which provide a substantial foundation for us to solve the genus distribution of a graph. For a given embedding  $G_\sigma$  of a graph  $G$ , one can find the surface, embedding surface or associate surface, which  $G_\sigma$  embeds on by applying the associated joint tree. In fact, genus distribution of  $G$  is that of the set of all of its embedding surfaces. This paper first study genus distributions of some sets of surfaces and then investigate the genus distribution of a generic graph by using the surface sorting method developed in [16].

Preliminaries will be briefed in the next section. In Section 3, surfaces  $Q_j^i$  will be introduced. We shall investigate the genus distribution of surface sets  $Q_j^0$  and  $Q_j^1$  for  $1 \leq j \leq 24$ , and derive the related recursive formulas. In Section 4, a recursion formula of the genus distribution for a cubic graph is given. In the last section, we show that the genus distribution of a general graph can be transformed into genus distribution of some cubic graphs by using a technique we develop in this paper.

## §2. Preliminaries

For a graph  $G$ , a *rotation at a vertex  $v$*  is a cyclic permutation of edges incident with  $v$ . A *rotation system* of  $G$  is obtained by giving each vertex of  $G$  a rotation. Let  $\rho_v$  denote the valence of vertex  $v$  which is the number of edges incident with  $v$ . The number of rotations systems of  $G$  is  $\prod_{v \in V(G)} (\rho_v - 1)!$ . Edmonds found that there is a bijection between the rotations systems of a graph and its embeddings [2]. Youngs provided the first proof published [18]. Thus, the number of embeddings of  $G$  is  $\prod_{v \in V(G)} (\rho_v - 1)!$ . Let  $g_i(G)$  denote the number of embeddings of  $G$  with the genus  $i$  ( $i \geq 0$ ). Then, the *genus distribution* of  $G$  is the sequence  $g_0(G), g_1(G), g_2(G), \dots$ . The *genus polynomial* of  $G$  is  $f_G(x) = \sum_{i \geq 0} g_i(G)x^i$ .

Given a spanning tree  $T$  of  $G$ , the joint trees of  $G$  are obtained by splitting each non-tree edge  $e$  into two semi-edges  $e$  and  $e^-$ . Given a rotation system  $\sigma$  of  $G$ ,  $G_\sigma$ ,  $\tilde{T}_\sigma$  and  $\mathcal{P}_T^\sigma$  denote the associated embedding, joint tree and embedding surface which  $G_\sigma$  embedded on respectively. There is a bijection between embeddings and joint trees of  $G$  such that  $G_\sigma$  corresponds to  $\tilde{T}_\sigma$ . Given a joint tree  $\tilde{T}$ , a *sub-joint tree*  $\tilde{T}_1$  of  $\tilde{T}$  is a graph consisting of  $T_1$  and semi-edges incident with vertices of  $T_1$  where  $T_1$  is a tree and  $V(T_1) \subseteq V(T)$ . A sub-joint tree  $\tilde{T}_1$  of  $\tilde{T}$  is called *maximal* if there is not a tree  $T_2$  such that  $V(T_1) \subset V(T_2) \subseteq V(T)$ .

A *linear sequence*  $S = abc \dots z$  is a sequence of letters satisfying with a relation  $a \prec b \prec c \prec \dots \prec z$ . Given two linear sequences  $S_1$  and  $S_2$ , the *difference sequence*  $S_1/S_2$  is obtained by deleting letters of  $S_2$  in  $S_1$ . Since a surface is obtained by identifying a letter with its inverse letter on a special polygon along the direction, a surface is regarded as that polygon such that  $a$  and  $a^-$  occur only once for each  $a \in S$  in this sense.

Let  $\mathcal{S}$  be the collection of surfaces. Let  $\gamma(S)$  be the genus of a surface  $S$ . In order to determine  $\gamma(S)$ , an equivalence is defined by Op1, Op2 and Op3 on  $\mathcal{S}$  as follows:

**Op 1.**  $AB \sim (Ae)(e^-B)$  where  $e \notin AB$ ;

**Op 2.**  $Ae_1e_2Be_2^-e_1^- \sim AeBe^- = Ae^-Be$  where  $e \notin AB$ ;

**Op 3.**  $Aee^-B \sim AB$  where  $AB \neq \emptyset$

where  $AB$  is a surface.

Thus,  $S$  is equivalent to one, and only one of the canonical forms of surfaces  $a_0a_0^-$  and  $\prod_{k=1}^i a_k b_k a_k^- b_k^-$  which are the sphere and orientable surfaces of genus  $i$  ( $i \geq 1$ ).

**Lemma 2.1** ([6]) *Let  $A$  and  $B$  be surfaces. If  $a, b \notin B$ , and if  $A \sim Baba^-b^-$ , then  $\gamma(A) = \gamma(B) + 1$ .*

**Lemma 2.2** ([7]) *Let  $A, B, C, D$  and  $E$  be linear sequences and let  $ABCDE$  be a surface. If  $a, b \notin ABCDE$ , then  $AaBbCa^-Db^-E \sim ADCBEaba^-b^-$ .*

**Lemma 2.3** ([13],[16]) *Let  $A, B, C$  and  $D$  be linear sequences and let  $ABCD$  be a surface. If  $a \neq b \neq c \neq a^- \neq b^- \neq c^-$  and if  $a, b, c \notin ABCD$ , then each of the following holds.*

$$(i) aABa^-CD \sim aBAa^-CD \sim aABa^-DC.$$

$$(ii) AaBa^-bCb^-cDc^- \sim aBa^-AbCb^-cDc^- \sim aBa^-bCb^-AcDc^-.$$

$$(iii) AaBa^-bCb^-cDc^- \sim BaAa^-bCb^-cDc^- \sim CaAa^-bBb^-cDc^- \sim DaAa^-bBb^-cCc^-.$$

For a set of surfaces  $M$ , let  $g_i(M)$  denote the number of surfaces with the genus  $i$  in  $M$ . Then, the *genus distribution* of  $M$  is the sequence  $g_0(M), g_1(M), g_2(M), \dots$ . The *genus polynomial* is  $f_M(x) = \sum_{i \geq 0} g_i(M)x^i$ .

### §3. Genus Distribution for $Q_j^1$

Let  $a, b, c, d, a^-, b^-, c^-, d^-$  be distinct letters and let  $A_0, B_0, C, D_0$  be linear sequences. Then, surface sets  $Q_j^k$  are defined as follows for  $j = 1, 2, 3, \dots, 24$ :

$$\begin{aligned} Q_1^k &= \{A_k B_k C D_k\} & Q_2^k &= \{A_k C D_k a B_k a^-\} & Q_3^k &= \{A_k B_k C a D_k a^-\} \\ Q_4^k &= \{A_k B_k a C D_k a^-\} & Q_5^k &= \{A_k D_k a B_k C a^-\} & Q_6^k &= \{A_k D_k C B_k\} \\ Q_7^k &= \{B_k C D_k a A_k a^-\} & Q_8^k &= \{B_k D_k C a A_k a^-\} & Q_9^k &= \{A_k B_k D_k C\} \\ Q_{10}^k &= \{A_k D_k C a B_k a^-\} & Q_{11}^k &= \{A_k B_k D_k a C a^-\} & Q_{12}^k &= \{A_k D_k B_k a C a^-\} \\ Q_{13}^k &= \{A_k C B_k D_k\} & Q_{14}^k &= \{A_k C B_k a D_k a^-\} & Q_{15}^k &= \{A_k C D_k B_k\} \\ Q_{16}^k &= \{A_k C a B_k D_k a^-\} & Q_{17}^k &= \{A_k D_k B_k C\} & Q_{18}^k &= \{C D_k a A_k a^- b B_k b^-\} \\ Q_{19}^k &= \{B_k D_k a A_k a^- b C b^-\} & Q_{20}^k &= \{B_k C a A_k a^- b D_k b^-\} & Q_{21}^k &= \{A_k D_k a B_k a^- b C b^-\} \\ Q_{22}^k &= \{A_k C a B_k a^- b D_k b^-\} & Q_{23}^k &= \{A_k B_k a C a^- b D_k b^-\} & Q_{24}^k &= \{A_k a B_k a^- b C b^- c D_k c^-\} \end{aligned}$$

where  $k = 0$  and  $1$ ,  $A_1 \in \{dA_0, A_0d\}$ ,  $(B_1, D_1) \in \{(B_0d^-, D_0), (B_0, d^-D_0)\}$  and  $a, a^-, b, b^-, c, c^-, d, d^- \notin ABCD$ . Let  $f_{Q_j^0}(x)$  denote the genus polynomial of  $Q_j^0$ . If  $A_1^0 A_0^0 D_0 B_1 B_2^0 C_2^0 C_1 D_1 = \emptyset$ , then  $f_{Q_j^0}(x) = 1$ . Otherwise, suppose that  $f_{Q_j^0}(x)$  are given for  $1 \leq j \leq 24$ . Then,

**Theorem 3.1** *Let  $g_{i_j}(n)$  be the number of surfaces with genus  $i$  in  $Q_j^n$ . Each of the following holds.*

$$g_{i_j}(1) = \begin{cases} g_{i_2}(0) + g_{i_3}(0) + g_{i_4}(0) + g_{i_5}(0), & \text{if } j = 1 \\ g_{i_{21}}(0) + g_{i_{22}}(0) + g_{(i-1)_1}(0) + g_{(i-1)_{15}}(0), & \text{if } j = 2 \\ g_{i_{22}}(0) + g_{i_{23}}(0) + g_{(i-1)_1}(0) + g_{(i-1)_{17}}(0), & \text{if } j = 3 \\ g_{i_4}(0) + g_{i_{18}}(0) + g_{(i-1)_6}(0) + g_{(i-1)_9}(0), & \text{if } j = 4 \\ g_{i_5}(0) + g_{i_{20}}(0) + g_{(i-1)_6}(0) + g_{(i-1)_{13}}(0), & \text{if } j = 5 \\ 2g_{i_6}(0) + 2g_{i_8}(0), & \text{if } j = 6 \\ 2g_{(i-1)_{15}}(0) + 2g_{(i-1)_{17}}(0), & \text{if } j = 7 \text{ and } 16 \\ 4g_{(i-1)_6}(0), & \text{if } j = 8 \\ 2g_{i_4}(0) + 2g_{i_{10}}(0), & \text{if } j = 9 \\ g_{i_{10}}(0) + g_{i_{18}}(0) + g_{(i-1)_6}(0) + g_{(i-1)_9}(0), & \text{if } j = 10 \\ 2g_{i_{21}}(0) + 2g_{i_{23}}(0), & \text{if } j = 11 \\ 2g_{i_{12}}(0) + 2g_{i_{19}}(0), & \text{if } j = 12 \\ 2g_{i_5}(0) + 2g_{i_{14}}(0), & \text{if } j = 13 \\ g_{i_{14}}(0) + g_{i_{20}}(0) + g_{(i-1)_6}(0) + g_{(i-1)_{13}}(0), & \text{if } j = 14 \\ g_{i_7}(0) + g_{i_{12}}(0) + g_{i_{15}}(0) + g_{i_{16}}(0), & \text{if } j = 15 \\ g_{i_7}(0) + g_{i_{12}}(0) + g_{i_{16}}(0) + g_{i_{17}}(0), & \text{if } j = 17 \\ 2g_{(i-1)_4}(0) + 2g_{(i-1)_{10}}(0), & \text{if } j = 18 \\ 4g_{(i-1)_{12}}(0), & \text{if } j = 19 \\ 2g_{(i-1)_5}(0) + 2g_{(i-1)_{14}}(0), & \text{if } j = 20 \\ g_{i_{21}}(0) + g_{i_{24}}(0) + g_{(i-1)_{11}}(0) + g_{(i-1)_{12}}(0), & \text{if } j = 21 \\ g_{(i-1)_2}(0) + g_{(i-1)_3}(0) + g_{(i-1)_{10}}(0) + g_{(i-1)_{14}}(0), & \text{if } j = 22 \\ g_{i_{23}}(0) + g_{i_{24}}(0) + g_{(i-1)_{11}}(0) + g_{(i-1)_{12}}(0), & \text{if } j = 23 \\ 2g_{(i-1)_{21}}(0) + 2g_{(i-1)_{23}}(0), & \text{if } j = 24 \end{cases}$$

*Proof* We shall prove the equation for  $g_{i_6}(1)$ , and the proofs for others are similar. Let

$$\begin{aligned} U_1 &= \{A_0 d d^- D_0 C B_0\} & U_2 &= \{d A_0 D_0 C B_0 d^-\} \\ U_3 &= \{A_0 d D_0 C B_0 d^-\} & U_4 &= \{d A_0 d^- D_0 C B_0\}. \end{aligned}$$

By the definition of  $Q_6^1$ , we have  $Q_6^1 = \{U_1, U_2, U_3, U_4\}$ . By the definition of  $g_i$ ,

$$g_{i_6}(1) = g_i(U_1) + g_i(U_2) + g_i(U_3) + g_i(U_4).$$

By Op3,

$$A_0 d d^- D_0 C B_0 \sim A_0 D_0 C B_0, \text{ and } d A_0 D_0 C B_0 d^- = A_0 D_0 C B_0 d^- d \sim A_0 D_0 C B_0.$$

It follows that

$$g_i(U_1) = g_i(U_2) = g_{i_6}(0). \quad (8)$$

By Lemma 2.3 (i) and Op2, we have

$$A_0 d D_0 C B_0 d^- = D_0 C B_0 d^- A_0 d \sim B_0 D_0 C d^- A_0 d \sim B_0 D_0 C a A_0 a^-$$

and

$$dA_0d^-D_0CB_0 = B_0D_0CdA_0d^- \sim B_0D_0CaA_0a^-.$$

So

$$g_i(U_3) = g_i(U_4) = g_{i_8}(0). \quad (9)$$

Combining (1) and (2), we have

$$g_{i_6}(1) = 2g_{i_6}(0) + 2g_{i_8}(0).$$

#### §4. Embedding Surfaces of a Cubic Graph

Given a cubic graph  $G$  with  $n$  non-tree edges  $y_l$  ( $1 \leq l \leq n$ ), suppose that  $T$  is a spanning tree such that  $T$  contains the longest path of  $G$  and that  $\tilde{T}$  is an associated joint tree. Let  $X_l, Y_l, Z_l$  and  $F_l$  be linear sequences for  $1 \leq l \leq n$  such that  $X_l \cup Y_l = y_l$ ,  $Z_l \cup F_l = y_l^-$ ,  $X_l \neq Y_l$  and  $Z_l \neq F_l$ .

**RECORD RULE:** Choose a vertex  $u$  incident with two semi-edges as a starting vertex and travel  $\tilde{T}$  along with tree edges of  $\tilde{T}$ . In order to write down surfaces, we shall consider three cases below.

**Case 1:** If  $v$  is incident with two semi-edges  $y_s$  and  $y_t$ . Suppose that the linear sequence is  $R$  when one arrives  $v$ . Then, write down  $RX_s y_t Y_s$  going away from  $v$ .

**Case 2:** If  $v$  is incident with one semi-edge  $y_s$ . Suppose that  $R_1$  is the linear sequence when one arrives  $v$  in the first time. Then the sequence is  $R_1 X_s$  when one leaves  $v$  in the first time. Suppose that  $R_2$  is the linear sequence when one arrives  $v$  in the second time. Then the sequence is  $R_2 Y_s$  when one leaves  $v$  in the second time.

**Case 3:** If  $v$  is not incident with any semi-edge. Suppose that  $R_1, R_2$  and  $R_3$  are, respectively, the linear sequences when one leaves  $v$  in the first time, the second time and the third time. Then, the sequences are  $(R_2/R_1)R_1(R_3/R_2)$  and  $R_3$  when one leaves  $v$  in the third time.

Here,  $1 \leq s, t \leq n$  and  $s \neq t$ . If  $v$  is incident with a semi-edge  $y_s^-$ , then replace  $X_s$  with  $Z_s$  and replace  $Y_s$  with  $F_s$ .

**Lemma 4.1** *There is a bijection between embedding surfaces of a cubic graph and surfaces obtained by the record rule.*

*Proof* Let  $T$  be a spanning tree such that  $\tilde{T}$  is a joint tree of  $G$  above. Suppose that  $\sigma_v$  is

a rotation of  $v$  and that  $R_1, R_2$  and  $R_3$  are given above.

$$\sigma_v = \left\{ \begin{array}{l} (y_s, y_t, e_r), \text{ if } X_s = y_s \text{ or } F_s = y_s^- \\ \quad \text{and } v \text{ is incident with } y_s, y_t \text{ and } e_r; \\ (y_t, y_s, e_r), \text{ if } Y_s = y_s \text{ or } Z_s = y_s^- \\ \quad \text{and } v \text{ is incident with } y_s, y_t \text{ and } e_r; \\ (y_s, e_1, e_2), \text{ if } X_s = y_s \text{ or } F_s = y_s^- \\ \quad \text{and } v \text{ is incident with } y_s, e_p \text{ and } e_q; \\ (e_1, y_s, e_2), \text{ if } Y_s = y_s \text{ or } Z_s = y_s^- \\ \quad \text{and } v \text{ is incident with } y_s, e_p \text{ and } e_q; \\ (e_1, e_2, e_3), \text{ if the linear sequence is } R_3 \\ \quad \text{and } v \text{ is incident with } e_p, e_q \text{ and } e_r; \\ (e_2, e_1, e_3), \text{ if the linear sequence is } (R_2/R_1)R_1(R_3/R_2) \\ \quad \text{and } v \text{ is incident with } e_p, e_q \text{ and } e_r \end{array} \right.$$

where  $e_p, e_q$  and  $e_r$  are tree-edges for  $1 \leq p, q, r \leq 2n-3$  and  $e_p \neq e_q \neq e_r$  for  $p \neq q \neq r$ . Hence the conclusion holds.  $\square$

By the definitions for  $X_l, Y_l, Z_l$  and  $F_l$ , we have the following observation:

**Observation 4.2** A surface set  $H^{(0)}$  of  $G$  has properties below.

- (1) Either  $X_l, Y_l \in H^{(0)}$  or  $X_l, Y_l \notin H^{(0)}$ ;
- (2) Either  $Z_l, F_l \in H^{(0)}$  or  $Z_l, F_l \notin H^{(0)}$ ;
- (3) If for some  $l$  with  $1 \leq l \leq n$ ,  $X_l, Y_l, Z_l, F_l \in H^{(0)}$ , then  $H^{(0)}$  has one of the following forms  $X_l A^{(0)} Y_l B^{(0)} Z_l C^{(0)} F_l D^{(0)}$ ,  $Y_l A^{(0)} X_l B^{(0)} Z_l C^{(0)} F_l D^{(0)}$ ,  $X_l A^{(0)} Y_l B^{(0)} F_l C^{(0)} Z_l D^{(0)}$  or  $Y_l A^{(0)} X_l B^{(0)} F_l C^{(0)} Z_l D^{(0)}$ . These forms are regarded to have no difference through this paper.

If either  $X_l \in H^{(0)}, Z_l \notin H^{(0)}$  or  $X_l \notin H^{(0)}, Z_l \in H^{(0)}$ , then replace  $X_l, Y_l, Z_l$  and  $F_l$  according to the definition of  $X_l, Y_l, Z_l$  and  $F_l$ .

**RECURSION RULE:** Given a surface set  $H^{(0)} = \{X_l A^{(0)} Y_l B^{(0)} Z_l C^{(0)} F_l D^{(0)}\}$  where  $A^{(0)}, B^{(0)}, C^{(0)}$  and  $D^{(0)}$  are linear sequences.

**Step 1.** Let  $A_0 = A^{(0)}, B_0 = B^{(0)}, C_0 = C^{(0)}$  and  $D_0 = D^{(0)}$ .  $Q_j^1$  is obtained for  $2 \leq j \leq 5$ . Then  $H_j^{(1)}$  is obtained by replacing  $a, a^-$  and  $Q_j^1$  with  $a_1, a_1^-$  and  $H_j^{(1)}$  respectively.

**Step 2.** Given a surface set  $H_{j_1, j_2, j_3, \dots, j_k}^{(k)}$  for a positive integer  $k$  and  $2 \leq j_1, j_2, j_3, \dots, j_k \leq 5$ , without loss of generality, suppose that  $H_{j_1, j_2, j_3, \dots, j_k}^{(k)} = \{X_s A^{(k)} Y_s B^{(k)} Z_s C^{(k)} F_s D^{(k)}\}$  where  $A^{(k)}, B^{(k)}, C^{(k)}$  and  $D^{(k)}$  are linear sequences for certain  $s$  ( $1 \leq s \leq n$ ). Let  $A_0 = A^{(k)}, B_0 = B^{(k)}, C_0 = C^{(k)}$  and  $D_0 = D^{(k)}$ .  $Q_j^1$  is obtained for  $2 \leq j \leq 5$ . Then  $H_{j_1, j_2, j_3, \dots, j_k, j}^{(k+1)}$  is obtained by replacing  $a, a^-$  and  $Q_j^1$  with  $a_{k+1}, a_{k+1}^-$  and  $H_{j_1, j_2, j_3, \dots, j_k, j}^{(k+1)}$  respectively.

Some surface sets  $H_{j_1, j_2, j_3, \dots, j_m}^{(m)}$  which contain  $a_l, a_l^-, y_l, y_l^-$  can be obtained by using step 2 for a positive integer  $m$ ,  $2 \leq j_1, j_2, j_3, \dots, j_m \leq 5$  and  $1 \leq l \leq n$ . It is easy to compute  $f_{H_{j_1, j_2, j_3, \dots, j_m}^{(m)}}(x)$ .

By Theorem 3.7,

$$\begin{aligned}
 g_i(H_{j_1, j_2, j_3, \dots, j_r}^{(r)}) &= g_i(H_{j_1, j_2, j_3, \dots, j_r, 2}^{(r+1)}) + g_i(H_{j_1, j_2, j_3, \dots, j_r, 3}^{(r+1)}) \\
 &+ g_i(H_{j_1, j_2, j_3, \dots, j_r, 4}^{(r+1)}) + g_i(H_{j_1, j_2, j_3, \dots, j_r, 5}^{(r+1)}), \quad (1) \\
 &\text{if } 0 \leq r \leq m-1, 2 \leq j_1, j_2, j_3, \dots, j_r \leq 5.
 \end{aligned}$$

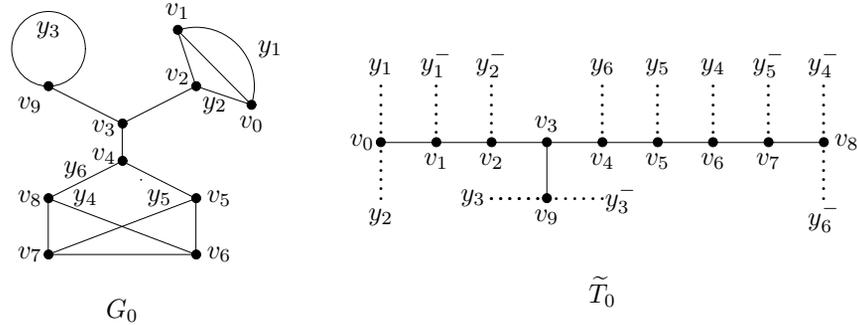


Fig.1:  $G_0$  and  $\tilde{T}_0$

**Example 4.3** The graph  $G_0$  is given in Fig.1. A joint tree  $\tilde{T}_0$  is obtained by splitting non-tree edges  $y_l$  ( $1 \leq l \leq 6$ ). Travel  $\tilde{T}_0$  by regarded  $v_0$  as a starting point. By using record rule we obtain surface sets

$$\{X_1 y_2 Y_1 Z_1 Z_2 Z_3 y_3 F_3 Y_6 Y_5 Y_4 Z_5 Z_4 y_6^- F_4 F_5 X_4 X_5 X_6 F_2 F_1\}$$

and

$$\{X_1 y_2 Y_1 Z_1 Z_2 Y_6 Y_5 Y_4 Z_5 Z_4 y_6^- F_4 F_5 X_4 X_5 X_6 F_2 F_1 Z_3 y_3 F_3\}.$$

By replacing  $Z_2, F_2, Z_3, F_3, X_6$  and  $Y_6$  according the definition 16 surface sets  $U_r$  ( $1 \leq r \leq 16$ ) are listed below.

$$\begin{aligned}
 U_1 &= \{X_1 y_2 Y_1 Z_1 y_2^- y_3^- y_3 y_6 Y_5 Y_4 Z_5 Z_4 y_6^- F_4 F_5 X_4 X_5 F_1\} \\
 U_2 &= \{X_1 y_2 Y_1 Z_1 y_2^- y_3^- y_3 Y_5 Y_4 Z_5 Z_4 y_6^- F_4 F_5 X_4 X_5 y_6 F_1\} \\
 U_3 &= \{X_1 y_2 Y_1 Z_1 y_2^- y_3 y_3^- y_6 Y_5 Y_4 Z_5 Z_4 y_6^- F_4 F_5 X_4 X_5 F_1\} \\
 U_4 &= \{X_1 y_2 Y_1 Z_1 y_2^- y_3 y_3^- Y_5 Y_4 Z_5 Z_4 y_6^- F_4 F_5 X_4 X_5 y_6 F_1\} \\
 U_5 &= \{X_1 y_2 Y_1 Z_1 y_3^- y_3 y_6 Y_5 Y_4 Z_5 Z_4 y_6^- F_4 F_5 X_4 X_5 y_2^- F_1\} \\
 U_6 &= \{X_1 y_2 Y_1 Z_1 y_3^- y_3 Y_5 Y_4 Z_5 Z_4 y_6^- F_4 F_5 X_4 X_5 y_6 y_2^- F_1\} \\
 U_7 &= \{X_1 y_2 Y_1 Z_1 y_3 y_3^- y_6 Y_5 Y_4 Z_5 Z_4 y_6^- F_4 F_5 X_4 X_5 y_2^- F_1\} \\
 U_8 &= \{X_1 y_2 Y_1 Z_1 y_3 y_3^- Y_5 Y_4 Z_5 Z_4 y_6^- F_4 F_5 X_4 X_5 y_6 y_2^- F_1\} \\
 U_9 &= \{X_1 y_2 Y_1 Z_1 y_2^- y_6 Y_5 Y_4 Z_5 Z_4 y_6^- F_4 F_5 X_4 X_5 F_1 y_3^- y_3\}
 \end{aligned}$$

$$\begin{aligned}
U_{10} &= \{X_1y_2Y_1Z_1y_2^-Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5y_6F_1y_3^-y_3\} \\
U_{11} &= \{X_1y_2Y_1Z_1y_2^-y_6Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5F_1y_3y_3^-\} \\
U_{12} &= \{X_1y_2Y_1Z_1y_2^-Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5y_6F_1y_3y_3^-\} \\
U_{13} &= \{X_1y_2Y_1Z_1y_6Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5y_2^-F_1y_3^-y_3\} \\
U_{14} &= \{X_1y_2Y_1Z_1Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5y_6y_2^-F_1y_3^-y_3\} \\
U_{15} &= \{X_1y_2Y_1Z_1y_6Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5y_2^-F_1y_3y_3^-\} \\
U_{16} &= \{X_1y_2Y_1Z_1Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5y_6y_2^-F_1y_3y_3^-\}.
\end{aligned}$$

The genus distribution of  $U_r$  can be obtained by using the recursion rule. Since the method is similar, we shall calculate the genus distribution of  $U_1$  and leave the calculation of genus distribution for others to readers.

$U_1$  is reduced to  $\{X_1y_2Y_1Z_1y_2^-y_6Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5F_1\}$  by Op2. Let  $H^{(0)} = S_1$ ,  $A_0 = y_2$ ,  $C_0 = y_2^-y_6Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5$  and  $B_0 = D_0 = \emptyset$ . Then  $H_2^{(1)} = H_3^{(1)} = \{y_2y_2^-y_6Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5\}$  and  $H_4^{(1)} = H_5^{(1)} = \{y_2a_1y_2^-y_6Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5a_1^-\}$ .

$H_2^{(1)}$  is reduced to  $\{y_6Y_5Y_4Z_5Z_4y_6^-F_4F_5X_4X_5\}$  by Op2. Let  $A_0 = X_5y_6Y_5$ ,  $B_0 = Z_5$ ,  $C_0 = y_6^-$  and  $D_0 = F_5$ . Then  $H_{2,2}^{(2)} = \{X_5y_6Y_5y_6^-F_5a_2Z_5a_2^-\}$ ,  $H_{2,3}^{(2)} = \{X_5y_6Y_5Z_5y_6^-a_2F_5a_2^-\}$ ,  $H_{2,4}^{(2)} = \{X_5y_6Y_5Z_5a_2y_6^-F_5a_2^-\}$  and  $H_{2,5}^{(2)} = \{X_5y_6Y_5F_5a_2Z_5y_6^-a_2^-\}$ .  $H_{4,2}^{(2)} = \{X_5a_1^-y_2a_1y_2^-y_6Y_5y_6^-F_5a_2Z_5a_2^-\}$ ,  $H_{4,3}^{(2)} = \{X_5a_1^-y_2a_1y_2^-y_6Y_5Z_5y_6^-a_2F_5a_2^-\}$ ,  $H_{4,4}^{(2)} = \{X_5a_1^-y_2a_1y_2^-y_6Y_5Z_5a_2y_6^-F_5a_2^-\}$  and  $H_{4,5}^{(2)} = \{X_5a_1^-y_2a_1y_2^-y_6Y_5F_5a_2Z_5y_6^-a_2^-\}$  by letting  $A_0 = X_5a_1^-y_2a_1y_2^-y_6Y_5$ ,  $B_0 = Z_5$ ,  $C_0 = y_6^-$  and  $D_0 = F_5$ .

Similarly,  $H_{2,2,2}^{(3)} = \{y_6a_2a_2^-a_3y_6^-a_3^-\}$ ,  $H_{2,2,3}^{(3)} = \{y_6y_6^-a_2a_3a_2^-a_3^-\}$ ,  $H_{2,2,4}^{(3)} = \{y_6y_6^-a_3a_2a_2^-a_3^-\}$  and  $H_{2,2,5}^{(3)} = \{y_6a_2^-a_3y_6^-a_2a_3^-\}$ .  $H_{2,3,2}^{(3)} = \{y_6y_6^-a_2a_2^-\}$ ,  $H_{2,3,3}^{(3)} = \{y_6y_6^-a_2a_3a_2^-a_3^-\}$ ,  $H_{2,3,4}^{(3)} = \{y_6a_3y_6^-a_2a_2^-a_3^-\}$  and  $H_{2,3,5}^{(3)} = \{y_6a_2^-a_3y_6^-a_2a_3^-\}$ .  $H_{2,4,2}^{(3)} = \{y_6a_2y_6^-a_2^-\}$ ,  $H_{2,4,3}^{(3)} = \{y_6a_2y_6^-a_3a_2^-a_3^-\}$ ,  $H_{2,4,4}^{(3)} = \{y_6a_3a_2y_6^-a_2^-a_3^-\}$  and  $H_{2,4,5}^{(3)} = \{y_6a_2^-a_3a_2y_6^-a_3^-\}$ .  $H_{2,5,2}^{(3)} = \{y_6a_2y_6^-a_2^-\}$ ,  $H_{2,5,3}^{(3)} = \{y_6a_2a_3y_6^-a_2^-a_3^-\}$ ,  $H_{2,5,4}^{(3)} = \{y_6a_3a_2y_6^-a_2^-a_3^-\}$  and  $H_{2,5,5}^{(3)} = \{y_6y_6^-a_2^-a_3a_2a_3^-\}$ .  $H_{4,2,2}^{(3)} = \{a_1^-y_2a_1y_2^-y_6a_2a_2^-a_3y_6^-a_3^-\}$ ,  $H_{4,2,3}^{(3)} = \{a_1^-y_2a_1y_2^-y_6y_6^-a_2a_3a_2^-a_3^-\}$ ,  $H_{4,2,4}^{(3)} = \{a_1^-y_2a_1y_2^-y_6y_6^-a_3a_2a_2^-a_3^-\}$  and  $H_{4,2,5}^{(3)} = \{a_1^-y_2a_1y_2^-y_6a_2^-a_3y_6^-a_2a_3^-\}$ .  $H_{4,3,2}^{(3)} = \{a_1^-y_2a_1y_2^-y_6y_6^-a_2a_2^-\}$ ,  $H_{4,3,3}^{(3)} = \{a_1^-y_2a_1y_2^-y_6y_6^-a_2a_3a_2^-a_3^-\}$ ,  $H_{4,3,4}^{(3)} = \{a_1^-y_2a_1y_2^-y_6a_3y_6^-a_2a_2^-a_3^-\}$  and  $H_{4,3,5}^{(3)} = \{a_1^-y_2a_1y_2^-y_6a_2^-a_3y_6^-a_2a_3^-\}$ .  $H_{4,4,2}^{(3)} = \{a_1^-y_2a_1y_2^-y_6a_2y_6^-a_2^-\}$ ,  $H_{4,4,3}^{(3)} = \{a_1^-y_2a_1y_2^-y_6a_2y_6^-a_3a_2^-a_3^-\}$ ,  $H_{4,4,4}^{(3)} = \{a_1^-y_2a_1y_2^-y_6a_3a_2^-a_3^-\}$  and  $H_{4,4,5}^{(3)} = \{a_1^-y_2a_1y_2^-y_6a_2^-a_3y_6^-a_2a_3^-\}$ .  $H_{4,5,2}^{(3)} = \{a_1^-y_2a_1y_2^-y_6a_2y_6^-a_2^-\}$ ,  $H_{4,5,3}^{(3)} = \{a_1^-y_2a_1y_2^-y_6a_2a_3y_6^-a_2^-a_3^-\}$ ,  $H_{4,5,4}^{(3)} = \{a_1^-y_2a_1y_2^-y_6a_3a_2y_6^-a_2^-a_3^-\}$  and  $H_{4,5,5}^{(3)} = \{a_1^-y_2a_1y_2^-y_6y_6^-a_2^-a_3a_2a_3^-\}$ .

By using (1),

$$f_{U_1}(x) = 4 + 32x + 28x^2.$$

Thus,

$$f_{G_0}(x) = 64 + 512x + 448x^2.$$

### §5. Genus Distribution for a Graph

**Theorem 5.1** *Given a graph, the genus distribution of  $G$  is determined by using the genus distribution of some cubic graphs.*

*Proof* Given a finite graph  $G_0$ , suppose that  $u$  is adjacent to  $k+1$  distinct vertices  $v_0, v_1, v_2, \dots, v_k$  of  $G_0$  with  $k \geq 3$ . Actually, the supposition always holds by subdividing some edges of  $G$ .

A *distribution decomposition* of a graph is defined below: add a vertex  $u_s$  of valence 3 such that  $u_s$  is adjacent to  $u, v_0$  and  $v_s$  for each  $s$  with  $1 \leq s \leq k$  and then obtain a graph  $G_s$  by deleting the edges  $uv_0$  and  $uv_s$ .

Choose the spanning trees  $T_s$  of  $G_s$  such that  $uv_s, uu_s$  and  $u_s v_s$  are tree edges for  $0 \leq s \leq k$ . Consider a joint tree  $\tilde{T}_0$  of  $G$ . Let  $\tilde{T}_s^*$  be the maximal joint tree of  $\tilde{T}_0$  such that  $v_s \in V(T_s^*)$  and  $v_t \notin V(T_s^*)$  for  $t \neq s$  and  $0 \leq s, t \leq k$ .

Let  $v_s$  be the starting vertex of  $\tilde{T}_s^*$  for  $0 \leq s \leq k$ . Suppose that  $\mathcal{A}_s$  is the set of all sequences by travelling  $\tilde{T}_s^*$  and that  $Q_s$  is the embedding surface set of  $G_s$ . Then

$$Q_0 = \{A_0 A_{r_1} A_{r_2} A_{r_3} \cdots A_{r_k} \mid A_{r_p} \in \mathcal{A}_{r_p}, 1 \leq r_p \leq k, r_p \neq r_q \text{ for } p \neq q\}$$

and for  $1 \leq s \leq k$

$$Q_s = \{A_0 A_s A_{r_1} A_{r_2} A_{r_3} \cdots A_{r_{k-1}}, A_0 A_{r_1} A_{r_2} A_{r_3} \cdots A_{r_{k-1}} A_s \mid A_{r_p} \in \mathcal{A}_{r_p}, \\ 1 \leq r_p \leq k, r_p \neq s, 1 \leq p, q \leq k-1, \text{ and } r_p \neq r_q \text{ for } p \neq q\}.$$

Let  $f_{Q_s}(x)$  denote the genus distribution of  $Q_s$ . It is obvious that

$$f_{Q_0}(x) = \frac{1}{2} \sum_{s=1}^k f_{Q_s}(x).$$

Thus,

$$f_{G_0}(x) = \frac{1}{2} \sum_{s=1}^k f_{G_s}(x).$$

Since  $G_0$  has finite vertices, the genus distribution of  $G_0$  can be transformed into those of some cubic graphs in homeomorphism by using the distribution decomposition.  $\square$

Next we give a simple application of Theorem 5.1.

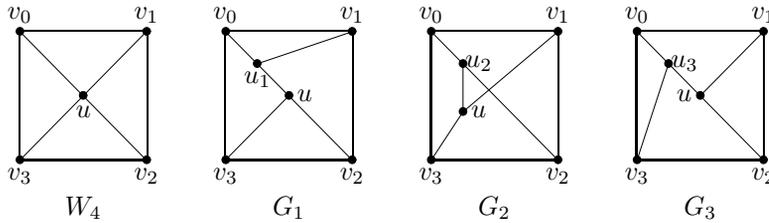
**Example 5.2** The graph  $W_4$  is shown in Fig.2. In order to calculate its genus distribution, we use the distribution decomposition and then we obtain three graph  $G_s$  for  $1 \leq s \leq 3$  (Fig.2). It is obvious that  $G_2$  are isomorphic to Möbius ladder  $ML_3$  and  $G_s$  are isomorphic to Ringel ladder  $RL_2$  for  $s = 1$  and 3. Since (see [8], [15])

$$f_{ML_3}(x) = 40x + 24x^2$$

and since (see [9], [15])

$$f_{RL_2}(x) = 2 + 38x + 24x^2,$$

$$\begin{aligned}
f_{W_4}(x) &= \frac{1}{2} \sum_{s=1}^3 f_{G_s}(x) \\
&= \frac{1}{2} [40x + 24x^2 + 2(2 + 38x + 24x^2)] \\
&= 2 + 58x + 36x^2.
\end{aligned}$$

Fig.2:  $W_4$  and  $G_s$ 

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