

# A NEW CALCULUS ON THE RING OF SYMMETRIC FUNCTIONS AND ITS APPLICATIONS

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## Abstract

This paper develops a new calculus on the ring of symmetric functions  $\Lambda_{\mathbb{Q}}$  and introduces its application. In the last of this paper, the author describes a new general method to expand any symmetric function in terms of a basis in  $\Lambda_{\mathbb{Q}}$ . For application of it, the author also mentions a general way to evaluate the transition matrix between any two bases in  $\Lambda_{\mathbb{Q}}$ .

**Keywords:** the ring of symmetric functions; combinatorics; representation theory; transition matrices

## 1 Notations and Definitions

Throughout this paper,  $\mathbb{N} = \{0, 1, 2, 3, \dots\}$ ,  $\lambda \vdash n$  denotes that  $\lambda$  is a partition of  $n$ .  $\phi$  denotes a partition of 0.  $\Lambda_{\mathbb{Q}}$  is the ring of symmetric functions in infinitely many variables  $x_1, x_2, x_3, \dots$  with  $\mathbb{Q}$  coefficients.

Basically, we use the notation and the definition of [2].  $p_n, h_n, e_n, m_\lambda, s_{\lambda/\mu}$  denote the power sum symmetric function, the complete homogeneous symmetric function, the elementary symmetric function, the monomial symmetric function and the skew schur symmetric function associated with the integer  $n$  or the partition  $\lambda, \mu$  respectively. For any  $f_n \in \Lambda_{\mathbb{Q}}$ ,  $f_\lambda = f_{\lambda_1} f_{\lambda_2} f_{\lambda_3} \cdots$  is automatically defined.

**Definition 1.**  $\circ_n$  is an linear transformation on  $\Lambda_{\mathbb{Q}}$ , that has the following rules.  $A, B \in$

$\Lambda_{\mathbb{Q}}; \alpha, \beta \in \mathbb{Q}; n, m \in \mathbb{N}$ .

$$\begin{aligned} \bigcirc_0 A &= A \\ \bigcirc_n (\bigcirc_m A) &= \bigcirc_m (\bigcirc_n A) \\ \bigcirc_n (\alpha A + \beta B) &= \alpha (\bigcirc_n A) + \beta (\bigcirc_n B) \\ \bigcirc_n (AB) &= \sum_{m=0}^n (\bigcirc_m A) (\bigcirc_{n-m} B) \end{aligned}$$

$\bigcirc_n^m$  denotes m times action of  $\bigcirc_n$ . Let us call  $\bigcirc_n$  ‘ball putting operator’ or ‘n indistinguishable balls’,  $\bigcirc_1^n$  ‘n distinguishable balls’, and  $A \in \Lambda_{\mathbb{Q}}$  ‘box’ or ‘boxes’. The following figures depict the reason for these names.

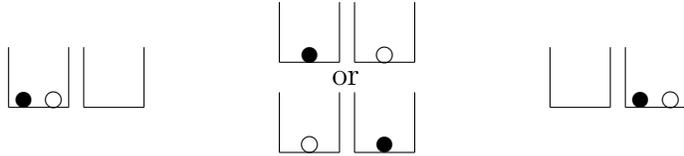
$$\bigcirc_1 (AB) = (\bigcirc_1 A) B + A (\bigcirc_1 B)$$



$$\bigcirc_2 (AB) = (\bigcirc_2 A) B + (\bigcirc_1 A) (\bigcirc_1 B) + A (\bigcirc_2 B)$$



$$\bigcirc_1^2 (AB) = (\bigcirc_1^2 A) B + 2(\bigcirc_1 A) (\bigcirc_1 B) + A (\bigcirc_1^2 B)$$



**Definition 2.**  $\bigcirc_n$  acts on the power sum symmetric function  $p_m$  ( $m \geq 1$ ) as following rules.

$$\bigcirc_n p_m = \begin{cases} 1 & n = m \\ 0 & n \neq m \end{cases}, \text{ if } n \neq 0$$

**Theorem 3.** One can easily see that

$$\bigcirc_n p_m^l = \begin{cases} \binom{l}{n/m} p_m^{l-n/m} & m \mid n \\ 0 & m \nmid n \end{cases}, \text{ if } n \neq 0$$

**Example 4.**

$$\bigcirc_n p_1^m = \binom{m}{n} p_1^{m-n}$$

**Definition 5.**  $\circ_n^{-1}$  denotes inverse operation of  $\circ_n$ . For instance,  $\circ_n^{-1} \circ_n A = A + B$ , where  $B$  satisfies the condition  $\circ_n B = 0$ . For example,  $\circ_1^{-1} p_1 = \frac{1}{2} p_1^2 + A$ , where  $\circ_1 A = 0$ . Let us call this ‘ball picking operator’.

Because the power sum symmetric functions form a basis of  $\Lambda_{\mathbb{Q}}$ , any symmetric function can be expressed in terms of the power sum symmetric functions. Throughout the paper, we consider that symmetric function is a ‘function’ of the power sum symmetric function. For  $A, q_n, r_\lambda \in \Lambda_{\mathbb{Q}}$ ,  $A(q)$  denotes a symmetric function obtained by replacing  $p_n$  with  $q_n$ , and  $A(r)$  a symmetric function obtained by replacing  $p_\lambda$  with  $r_\lambda$ .

Note that this notation is different from the conventional notation in the area of symmetric functions, such as  $e(x)$ . In this paper, we never care about the variables  $x_1, x_2, x_3, \dots$ , but we always care about how a symmetric function is expressed in terms of the power sum symmetric function.

For convenience, we introduce other notations of substitution,

$$\begin{aligned} A|_{p \rightarrow q} \\ A|_{p \rightarrow r} \end{aligned}$$

For instance, if  $A = \frac{1}{2} p_2 + \frac{1}{2} p_1^2$ , then

$$\begin{aligned} A(h) &= \frac{1}{2} h_2 + \frac{1}{2} h_1^2 = \frac{1}{4} p_2 + \frac{3}{4} p_1^2 \\ A(s) &= \frac{1}{2} s_{(2)} + \frac{1}{2} s_{(1,1)} = \frac{1}{2} p_1^2 \end{aligned}$$

## 2 Equation Of Symmetric Function

The complete homogeneous symmetric functions are expressed by the power sum symmetric functions as follows.

$$\begin{aligned} h_0 &= 1 \\ h_1 &= p_1 \\ h_2 &= \frac{1}{2} p_2 + \frac{1}{2} p_1^2 \\ h_3 &= \frac{1}{3} p_3 + \frac{1}{2} p_2 p_1 + \frac{1}{6} p_1^3 \end{aligned}$$

One may notice that  $\circ_1 h_3 = h_2$  and  $\circ_2 h_3 = h_1$ . Actually,  $h_n$  satisfies the following equation.

**Lemma 6.** *The complete homogeneous symmetric function has equations below*

$$\begin{aligned} h_0 &= 1 \\ \circ_n h_m &= h_{m-n} & (1) \\ h_m(0) &= 0 \text{ if } m \neq 0 & (2) \end{aligned}$$

If  $n > m$ ,  $\bigcirc_n h_m = 0$ . (2) states that  $h_n$  is homogeneous. One can see it as a definition of  $h_n$ .

*Proof.* It suffices to prove that the known relation  $nh_n = \sum_{m=1}^n p_m h_{n-m}$  satisfies (2).  $\square$

Let us make sure that (2) yields  $p_n$  expression of  $h_n$ . First, let us evaluate  $h_1$ . When  $n = 1$ ,  $m = 1$ , (2) is  $\bigcirc_1 h_1 = h_0 = 1$ . We apply  $\bigcirc_1^{-1}$  to this, and get  $h_1 = \bigcirc_1^{-1} 1 = p_1 + A$ , where  $\bigcirc_1 A = 0$ . From the fact that  $h_1$  is homogeneous, we can see that  $A = 0$ . Hence, we have  $h_1 = p_1$ .

Next, let us evaluate  $h_2$ . When  $n = 1$ ,  $m = 2$ , (2) is  $\bigcirc_1 h_2 = h_1 = p_1$ . We apply  $\bigcirc_1^{-1}$  to this, and get  $h_2 = \bigcirc_1^{-1} p_1 = \frac{1}{2} p_1^2 + A$ , where  $\bigcirc_1 A = 0$ . Furthermore, we apply  $\bigcirc_2$  to (2), we get  $\bigcirc_2 h_2 = \bigcirc_2 (\frac{1}{2} p_1^2 + A) = \frac{1}{2} + \bigcirc_2 A = h_0 = 1$ .  $\bigcirc_2 A = \frac{1}{2}$ . We apply  $\bigcirc_2^{-1}$  to this, and get  $A = \frac{1}{2} p_2 + B$ , where  $\bigcirc_1 B = \bigcirc_2 B = 0$ . As the above, from the fact that  $h_2$  is homogeneous, we can see that  $B = 0$ . Therefore, we finally obtain  $h_2 = \frac{1}{2} p_2 + \frac{1}{2} p_1^2$ .

**Lemma 7.** *The elementary symmetric function has equations below*

$$\begin{aligned} e_0 &= 1 \\ \bigcirc_n e_m &= \begin{cases} e_{m-n} & n = 0, 1 \\ 0 & \text{otherwise} \end{cases} \\ e_n(0) &= 0 \quad \text{if } n \neq 0 \end{aligned} \quad (3)$$

*Proof.* It suffices to prove that the known relation  $ne_n = \sum_{m=1}^n (-1)^{m+1} p_m e_{n-m}$  satisfies (3).  $\square$

**Lemma 8.** *The monomial symmetric function has equations below*

$$\begin{aligned} m_\phi &= 1 \\ \bigcirc_n m_\lambda &= \begin{cases} m_{\lambda - \{n\}} & n \in \lambda \\ 0 & n \notin \lambda \end{cases} \quad \text{if } n \neq 0 \\ m_\lambda(0) &= 0 \quad \text{if } \lambda \neq \phi \end{aligned} \quad (4)$$

**Example 9.**

$$\begin{aligned} \bigcirc_2 m_{(3,2,2)} &= m_{(3,2)} \\ \bigcirc_1 m_{(3,2,2)} &= 0 \end{aligned}$$

**Lemma 10.** *The skew schur symmetric function has equations below*

$$s_{\lambda/\mu} = \begin{cases} 1 & \lambda = \mu \\ 0 & \text{otherwise} \end{cases} \quad \text{if } |\lambda| = |\mu| \quad (5)$$

$$\begin{aligned} \bigcirc_n s_{\lambda/\mu} &= \sum_{\nu} s_{\lambda/\nu} \\ s_{\lambda/\mu}(0) &= 0 \quad \text{if } \lambda \neq \mu \end{aligned} \quad (6)$$

here  $\nu$  runs over all  $|\mu| + n$  brick young diagrams that are obtained from  $\mu$  by adding at most one brick on each column.

**Example 11.**

$$\circ_3 s_{\lambda/(1)} = s_{\lambda/(4)} + s_{\lambda/(3,1)}$$

**Lemma 12.** *We introduce a new symmetric function as follows*

$$\begin{aligned} I_0 &= 1 \\ \circ_n I_m &= \begin{cases} \sum_{l=1}^m I_{l-1} I_{m-l} & \text{if } n = 1 \\ \sum_{l=1}^{m-1} I_l (\circ_{n-1} I_{m-l-1}) & \text{if } n > 1 \end{cases} \\ I_n(0) &= 0 \quad \text{if } n \neq 0 \end{aligned} \quad (7)$$

Let us call it ‘path-graph colouring symmetric function’. Because if  $t \in \mathbb{N}$ , then  $I_n(t)$  equals chromatic polynomial of path-graph of  $n$  vertexes. One can check that  $h_n = I_n(e)$ ,  $e_n = I_n(h)$ .

**Lemma 13.** *The identity*

$$\begin{aligned} \circ_n \zeta &= \zeta \\ \zeta(0) &= 1 \end{aligned} \quad (8)$$

It is easily checked that  $\zeta = h_0 + h_1 + h_2 + \dots$ . This symmetric function will play an important role in the application to enumerative combinatorics.

**Example 14.** The twelfold way can be expressed in terms of my calculus. If  $n$  balls and  $m$  boxes exist, the the twelfold way is

ball	box	any f	surjective f	injective f
dist.	dist.	$\circ_1^n \zeta^m  _{p \rightarrow 0}$	$\circ_1^n (\zeta - 1)^m  _{p \rightarrow 0}$	$\circ_1^n p_1^m  _{p \rightarrow 1}$
dist.	indist.	$\circ_1^n h_m(\zeta)  _{p \rightarrow 0}$	$\circ_1^n h_m(\zeta - 1)  _{p \rightarrow 0}$	$\circ_1^n h_m  _{p \rightarrow 1}$
indist.	dist.	$\circ_n \zeta^m  _{p \rightarrow 0}$	$\circ_n (\zeta - 1)^m  _{p \rightarrow 0}$	$\circ_n p_1^m  _{p \rightarrow 1}$
indist.	indist.	$\circ_n h_m(\zeta)  _{p \rightarrow 0}$	$\circ_n h_m(\zeta - 1)  _{p \rightarrow 0}$	$\circ_n h_m  _{p \rightarrow 1}$

The information about the twelfold way is available in [1].

### 3 Series Expansion Of Symmetric Function

Let  $A \in \Lambda_{\mathbb{Q}}$ , and  $B_{\lambda}$  be a basis of  $\Lambda_{\mathbb{Q}}^{|\lambda|}$ .  $A$  can be expanded in terms of  $B_{\lambda}$ .

$$\begin{aligned} A &= A|_{p \rightarrow q} + ((\circ_1 A)|_{p \rightarrow q}) (\circ_1 B_{(1)})^{-1} \left( B_{(1)} |_{p \rightarrow q} \right) \\ &+ ((\circ_2 A)|_{p \rightarrow q} \quad (\circ_1^2 A)|_{p \rightarrow q}) \begin{pmatrix} \circ_2 B_{(2)} & \circ_1^2 B_{(2)} \\ \circ_2 B_{(1,1)} & \circ_1^2 B_{(1,1)} \end{pmatrix}^{-1} \begin{pmatrix} B_{(2)} |_{p \rightarrow q} \\ B_{(1,1)} |_{p \rightarrow q} \end{pmatrix} + \dots \end{aligned}$$

Let us call this formula ‘a Taylor series expansion of A by B around q’. As a special case, we obtain for  $p \rightarrow 0$

$$\begin{aligned} A &= A|_{p \rightarrow 0} + ((\circ_1 A)|_{p \rightarrow 0}) (\circ_1 B_{(1)})^{-1} (B_{(1)}) \\ &+ ((\circ_2 A)|_{p \rightarrow 0} \quad (\circ_1^2 A)|_{p \rightarrow 0}) \begin{pmatrix} \circ_2 B_{(2)} & \circ_1^2 B_{(2)} \\ \circ_2 B_{(1,1)} & \circ_1^2 B_{(1,1)} \end{pmatrix}^{-1} \begin{pmatrix} B_{(2)} \\ B_{(1,1)} \end{pmatrix} + \dots \end{aligned}$$

Let us call this formula ‘a Maclaurin series expansion of A by B’. These formulas are useful for evaluating transition matrix between bases of  $\Lambda_{\mathbb{Q}}$ .

**Corollary 15.** *One expands the complete homogeneous symmetric function  $h_n$  by the power sum symmetric function  $p$  around  $A$  and substitute  $p \rightarrow B$ , then one gets*

$$h_n(A + B) = \sum_{m=0}^n h_m(A) h_{n-m}(B)$$

**Example 16.** When  $n = 3$ , we have

$$\begin{aligned} h_3(A + B) &= \sum_{m=0}^3 h_m(A) h_{3-m}(B) = \\ &\frac{1}{3}(A_3 + B_3) + \frac{1}{2}(A_2 + B_2)(A_1 + B_1) + \frac{1}{6}(A_1 + B_1)^3 \\ &= 1 \left( \frac{1}{3}B_3 + \frac{1}{2}B_2B_1 + \frac{1}{6}B_1^3 \right) + A_1 \left( \frac{1}{2}B_2 + \frac{1}{2}B_1^2 \right) + \\ &\left( \frac{1}{2}A_2 + \frac{1}{2}A_1^2 \right) B_1 + \left( \frac{1}{3}A_3 + \frac{1}{2}A_2A_1 + \frac{1}{6}A_1^3 \right) 1 \end{aligned}$$

**Corollary 17.** *If  $A_{\lambda}, B_{\lambda}$  are the bases of  $\Lambda_{\mathbb{Q}}^n$ , the transition matrix between those two bases is easily obtained from a Maclaurin series expansion of A by B.*

**Example 18.** the transition matrix from the monomial symmetric functions to the schur symmetric function of size 2 is

$$\begin{aligned} \begin{pmatrix} s_{(2)} \\ s_{(1,1)} \end{pmatrix} &= \begin{pmatrix} \circ_2 s_{(2)} & \circ_1^2 s_{(2)} \\ \circ_2 s_{(1,1)} & \circ_1^2 s_{(1,1)} \end{pmatrix} \begin{pmatrix} \circ_2 m_{(2)} & \circ_1^2 m_{(2)} \\ \circ_2 m_{(1,1)} & \circ_1^2 m_{(1,1)} \end{pmatrix}^{-1} \begin{pmatrix} m_{(2)} \\ m_{(1,1)} \end{pmatrix} \\ &= \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}^{-1} \begin{pmatrix} m_{(2)} \\ m_{(1,1)} \end{pmatrix} \end{aligned}$$

The information about transition matrix is available in [2]

## References

- [1] Enumerative Combinatorics Volume 1, 2, Richard P. Stanley
- [2] I. G. Macdonald, *Symmetric functions and Hall polynomials* Oxford Univ. Press, New York, 1995
- [3] Generalized Schur operators and Pieri's rule, Yasuhide Numata